



# 图像视频压缩

图鸭信息科技有限公司

武俊敏



## 关于我们-图鸭科技

- 目前公司30多人，8位知名高校博士，20多位研发，来自上海交大，南京大学，浙江大学等，团队申请的专利80多项，发表的论文80多篇。
- Make video smaller & smarter



## 图片视频编解码

网络图片 / 视频数据传输的基础



300 DPI

26M

A4 大小图片 → 真彩色扫描 — 数据量  
(不压缩)



# 图片和视频——新时代的文本



10 亿 / 天

微信图片日均上传量（张）



71.7%

2017年新闻格式  
图片占比

头条

12.69 亿

2017今日头条视  
频资讯日均播放量



80%

游戏资源包中超过  
80%为图片和视频



# 视频压缩



## 视频类

网络视频**月度**覆盖超过**5亿**；人均视频时长超过**1小时 / 天**



## 直播

2016年，网络直播平台**超过200个**，服务用户规模达 **3.3亿**



## 短视频

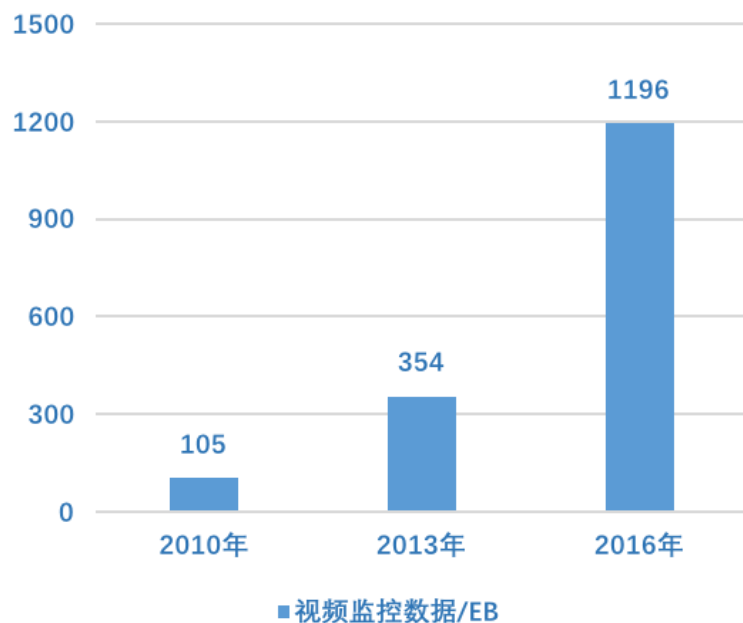
资讯和社交平台短视频数量**增势惊人**；短视频是社交和信息传递的延续，而非视频网站的补充。



## 监控市场

视频监控数据量庞大；高清 / 超高清化趋势，监控数据将以指数级增长

全球视频监控数据预测

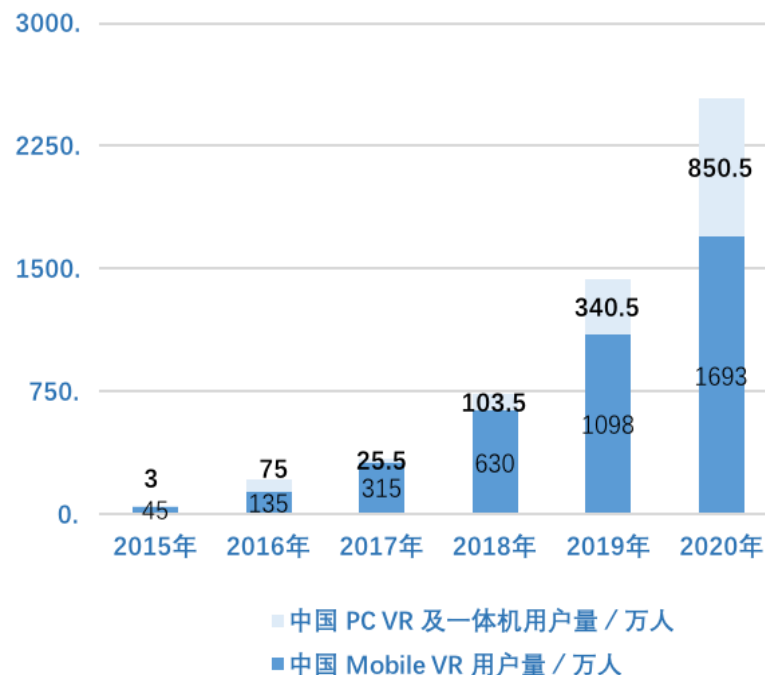


## VR/AR



带宽不足是制约AR/VR 普及的主要因素之一；整体市场潜力巨大

2015 ~ 2020年中国VR用户规模预计



## 图像压缩 VS. JPEG

测试网址：<http://www.tucodec.com/picture/index>

效果对比：<http://www.tucodec.com/picture/comparison>

注明：对比数据集采用 kodak24 数据集

原图：

Pixel: 2448\*3264

Size: 1.77M



JPEG 压缩 : Size: 332 KB  
PSNR: 33.3



JPEG 压缩 : Size: 78 KB  
PSNR: 22.4



我们压缩：

Size: 73 KB

PSNR: 33.3



# 项目展示-图片压缩高码率-25倍压缩率，网络图像使用码点



振铃失真

BPG: PSNR:37.92 ssim: 0.986 rate:0.923

测试: [tucodec.com/compare](http://tucodec.com/compare)



方块效应

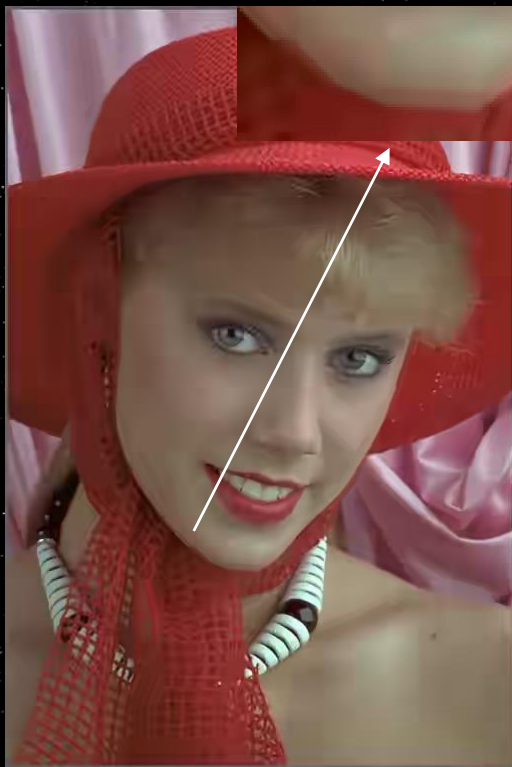
JPEG: PSNR:35.12 ssim: 0.982 rate:0.898



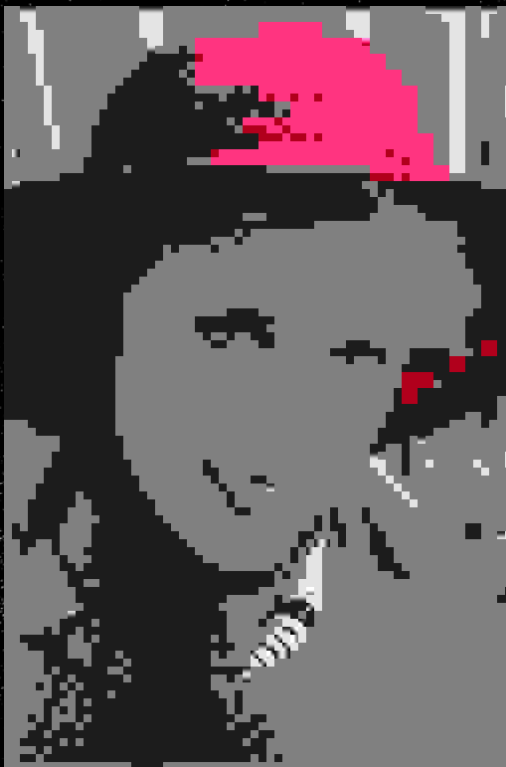
OUR: PSNR:37.99 ssim: 0.989 rate:0.903



## 项目展示-图片压缩低码率-200倍压缩率-网络视频使用码点



BPG: PSNR:30.14 ssim: 0.921 rate:0.116



JPEG: PSNR:15.24 ssim: 0.527 rate:0.145



OUR: PSNR:29.28 ssim: 0.905 rate:0.107

# 音视频通信



图鸭

VS

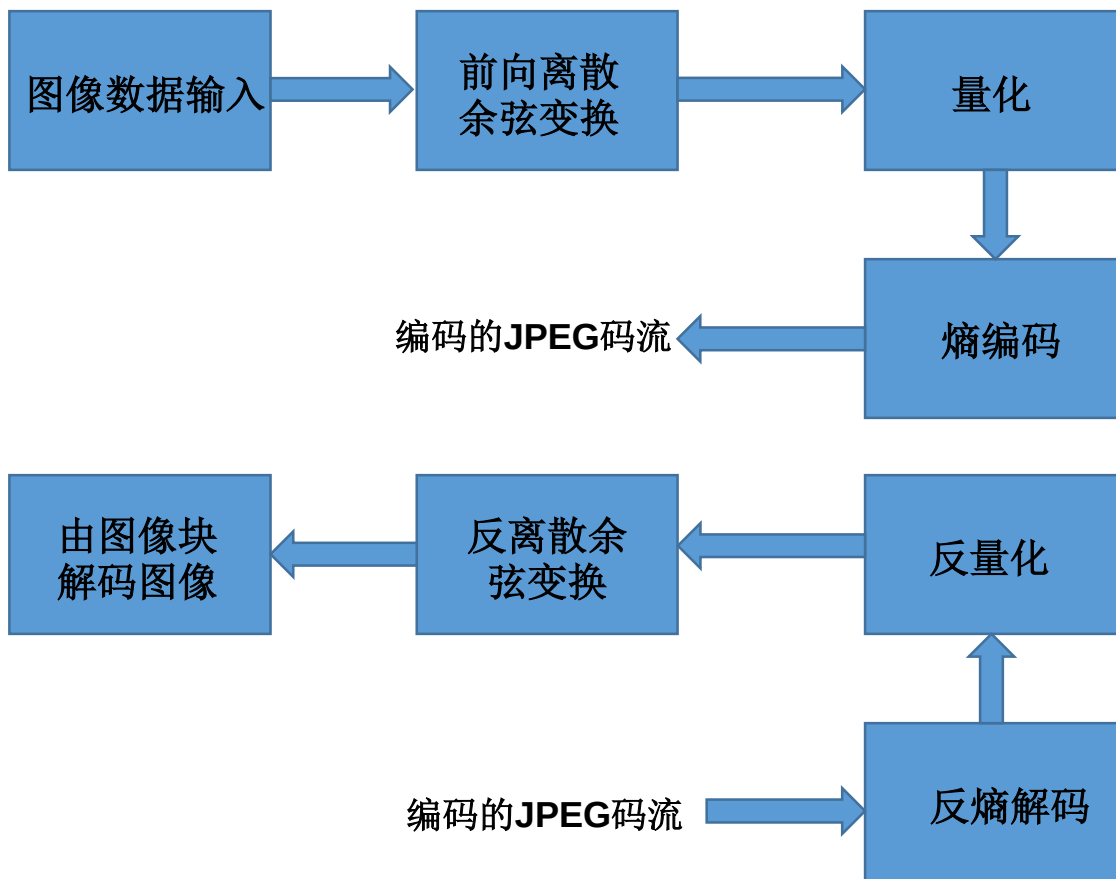
微信

测试: app store “AI 米听” “图鸭工具箱”

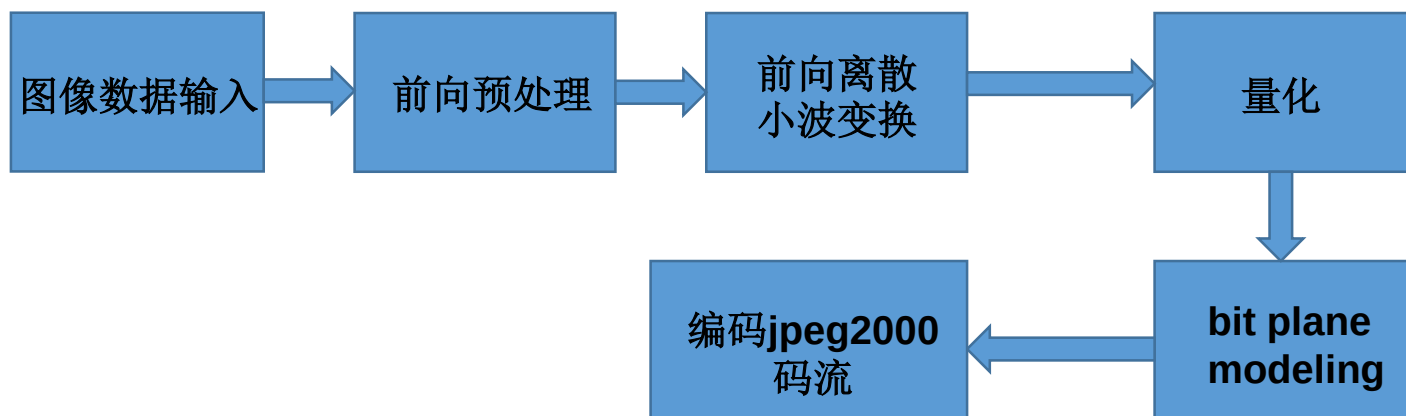
## 视频通信 (VoIP)

- ◆ 丢包 30% 视频流畅, 支持 8人 实时通信
- ◆ 端到端延迟 50 ~ 100ms
- ◆ P2P 穿透 70%

## ◆ 图像压缩-JPEG



## ◆ 图像压缩-JPEG2000



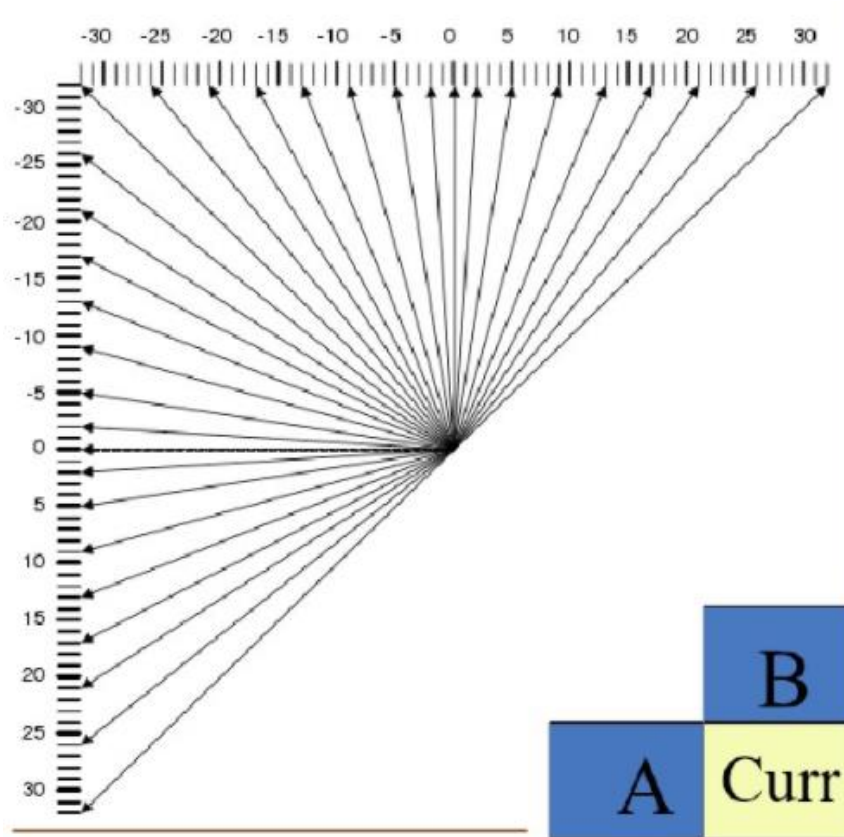


## ◆ 图像压缩-BPG

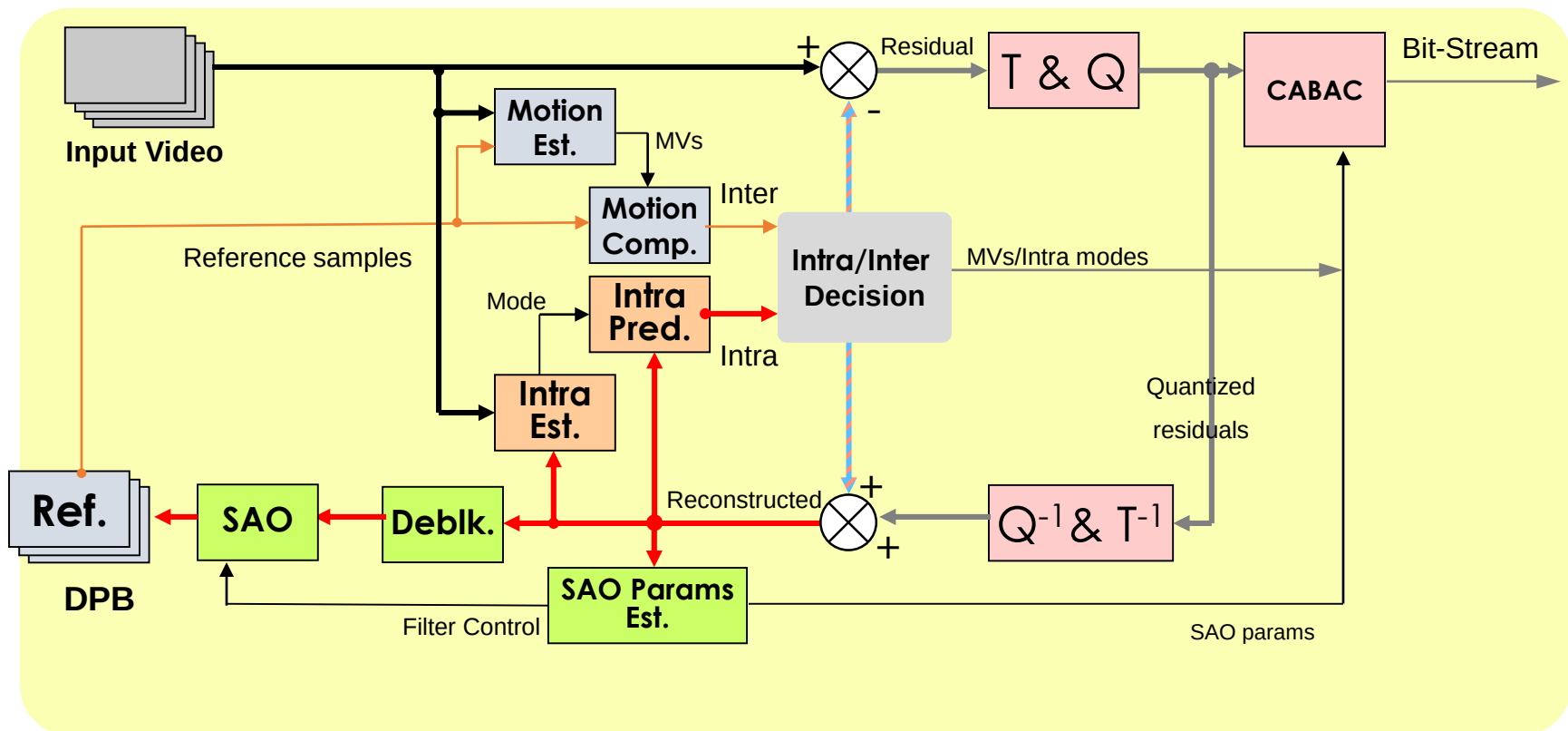
利用像素空间相关性消除空域冗余

多角度预测模式，进行图像内预测

对预测残差进行DCT编码



## ◆ 视频压缩-HEVC



## ◆ 深度学习与图像视频压缩

如何用深度学习来设计图像或视频压缩算法？

深度学习在如人脸等视觉领域取得飞速发展，  
能否对图像视频压缩领域进行更新？

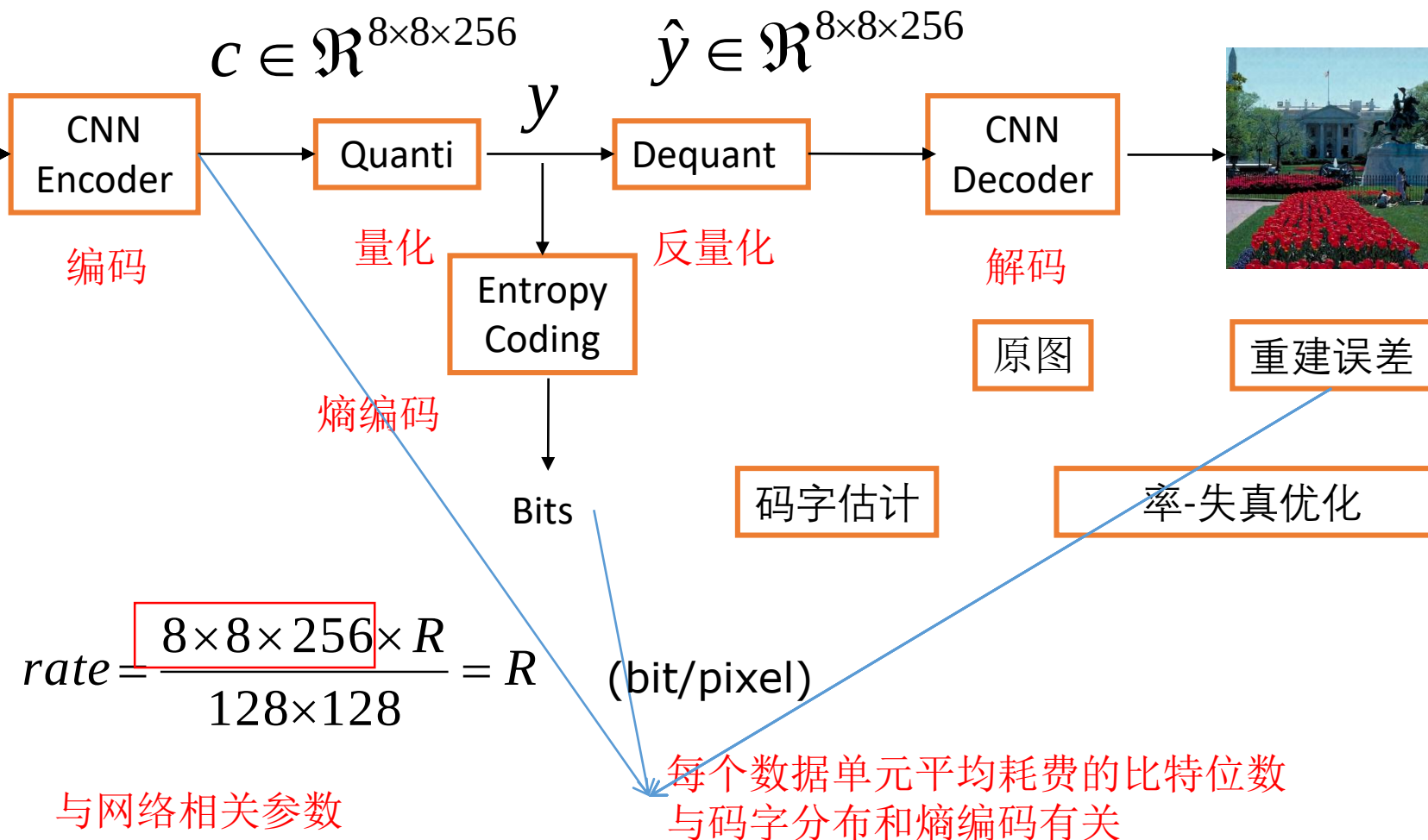
深度学习做压缩有哪些独特的优势？

Full Resolution Image Compression with Recurrent Neural Networks , CVPR 2017, Google  
LOSSY IMAGE COMPRESSION WITH COMPRESSIVE AUTOENCODERS, ICLR 2017,  
Twitter  
Learning to Inpaint for Image Compression, NIPS 2017, Intel lab

## 典型的深度学习图像压缩框架介绍

 $\mathbb{R}^{128 \times 128 \times 3}$ 

原始图片



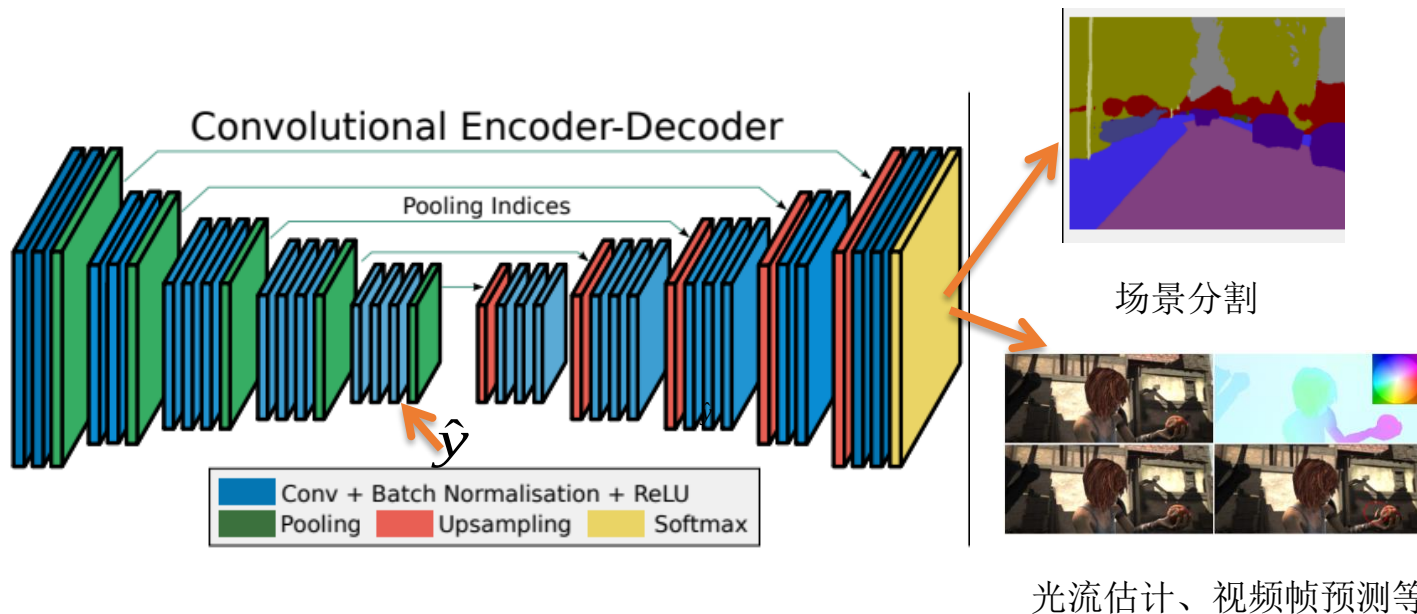
To generate a binary representation for an image by quantizing laten variable

## 完整的深度压缩网络所包括的模块

- 1 自编码网络
- 2 量化和反量化
- 3 重建误差
- 4 熵编码和码字估计
- 5 率-失真优化



## 自编码网络



- 编码网络结构可由卷积、池化等模块组成，如VGG，RESNET等
- 解码网络结构可由上采样，卷积，反卷积等模块组成

如果要应用到图像压缩，需要哪些新的设计？

## 量化

取整加随机噪声, (Lucas Theis, 2017)

$$\hat{y} \approx \lfloor y \rfloor + \varepsilon, \varepsilon \in \{0,1\}$$

训练时加随机噪声, 测试直接取整 (Johannes Balle, 2016)

$$\hat{y} \approx y + u, u \in \{0,1\}$$

中心点分配, (Eirikur Agustsson, 2017)

$$\hat{y} \approx \sum_i c_i \varphi_i(\bar{y})$$

二值量化, (George Toderici, 2017)

$$\hat{y} \approx \begin{cases} 1, & y > 0 \\ 0, & y \leq 0 \end{cases}$$

**LOSSY IMAGE COMPRESSION WITH COMPRESSIVE AUTOENCODERS,  
ICLR 2017**

## 码字估计

$$R = E_{x \sim p_x} [-\log_2 p_{\hat{y}}(Q(g_a(x; \theta_g)))]$$

 先验分布

现有的方法:

如果先验估计不准, 不能引导模型良好训练

BinaryRNN for Entropy Coding--

Full Resolution Image Compression with Recurrent Neural networks. CVPR17

Bitplane Decomposition for Adaptive Arithmetic Coding--

Real-Time Adaptive Image Compression, ICML 2017

Gaussian Model for Entropy Rate Estimation--

Lossy Image Compression With Compressive Autoencoders, ICLR 2017

End to End Optimized Image Compression, ICLR 2017

## 利用重建图与原图误差构建损失函数

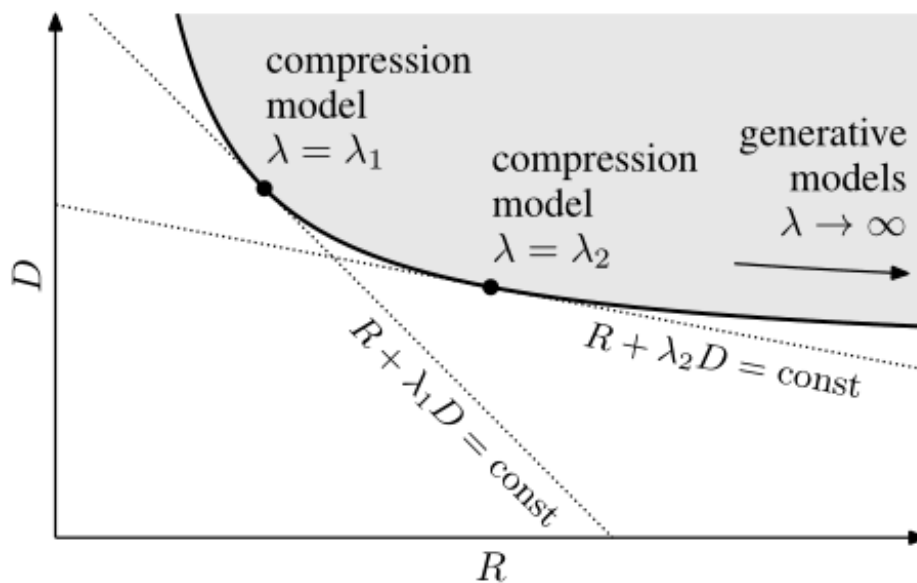
重建误差 - MSE.

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (\hat{Y}_i - Y_i)^2$$

描述型误差-MS-SSIM

Wang, Zhou, Eero P. Simoncelli, and Alan Conrad Bovik (2003). “Multi-Scale Structural Similarity for Image Quality Assessment”. In: Conf. Rec. of the 37th Asilomar Conf. on Signals, Systems and Computers. DOI: 10.1109/ACSSC.2003.1292216

## 率-失真优化



$$L[g_a, g_s, P_q] = -\mathbb{E}[\log_2 P_q] + \lambda \mathbb{E}[d(z, \hat{z})]$$

码字估计

重建误差

## 如何进行测评

### 数据库: Kodak Photo CD dataset

- 24 张768×512 PNG 图片

### 评价指标

- MS-SSIM （主观指标）
- PSNR （客观指标）

### 比较

- JPEG
- JPEG2K
- BPG
- 不同网络结构
  - LSTM, Associative LSTM, GRU
- 不同的重建框架
- One-shot, additive, residual scaling



# 典型方法介绍

# 两个主要的发展方向

- 端到端模式 (auto-encoders)
  - RNN (ICLR2016\_Google, CVPR2017\_Google, ICIP2017\_Google)
  - CNN (ICLR2017\_NYU, ICLR2017\_Twitter, CSVT\_HIT)
  - GAN (ICML2017\_waveone, MIT\_2017)
- 优化现在的视频编码器
  - Intra CU mode decision
  - Down-sampling Coding
  - in-loop filter & Post-processing

## ICLR2016\_Google

- RNN编码层
- 二进制量化层
- RNN解码层

$$b_t = B(E_t(r_{t-1})), \quad \hat{x}_t = D_t(b_t) + \gamma \hat{x}_{t-1}, \quad r_t = x - \hat{x}_t, \quad r_0 = x, \quad \hat{x}_0 = 0$$

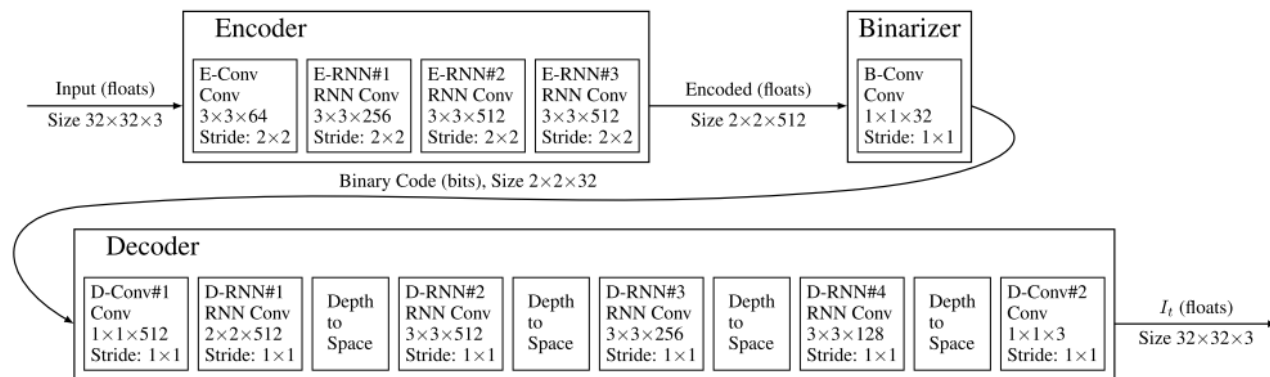
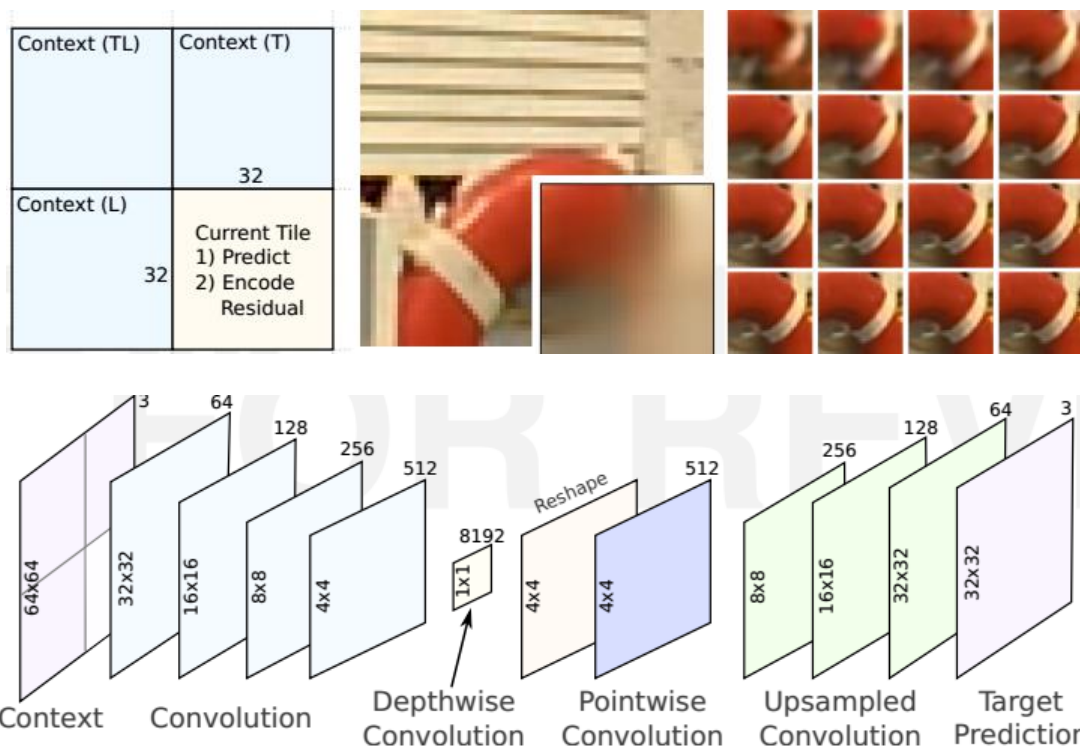


Figure 1: A single iteration of our shared RNN architecture.

# ICIP2017\_Google

RNN with intra prediction



# 两个主要的发展方向

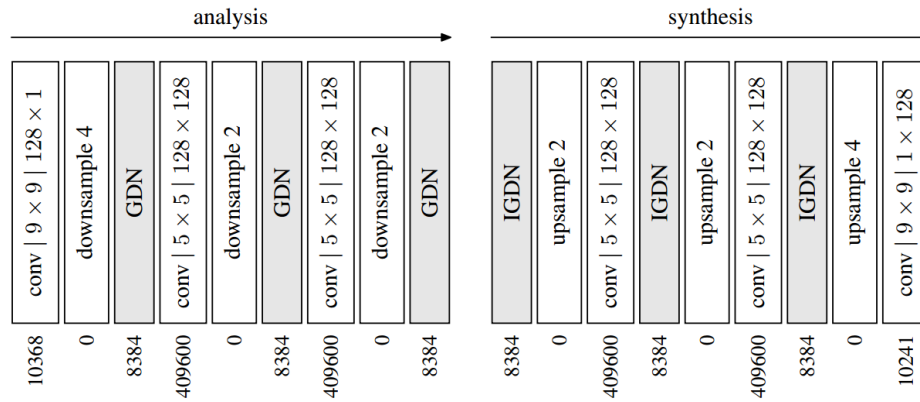
- 端到端模式 (auto-encoders)
  - RNN (ICLR2016\_Google, CVPR2017\_Google, ICIP2017\_Google)
  - CNN (ICLR2017\_NYU, ICLR2017\_Twitter, CSVT\_HIT)
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# ICLR2017\_NYU

- Generalized divisive normalization (GDN)

$$u_i^{(k+1)}(m, n) = \frac{w_i^{(k)}(m, n)}{\left(\beta_{k,i} + \sum_j \gamma_{k,ij} (w_j^{(k)}(m, n))^2\right)^{\frac{1}{2}}}.$$

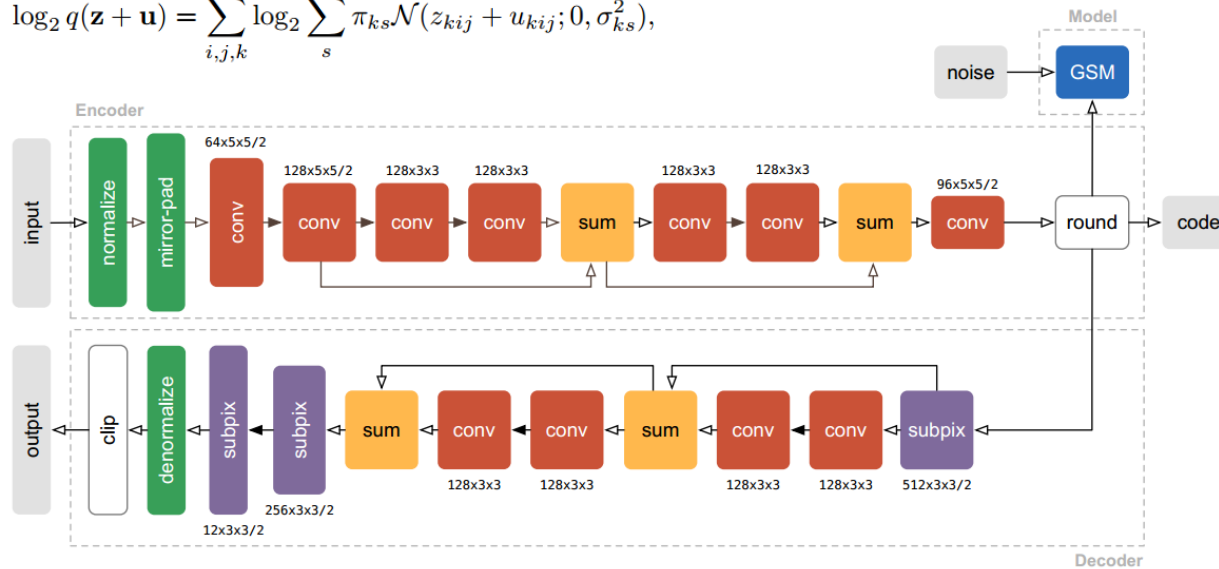
- 网络结构和码字估计



# ICLR2017\_Twitter

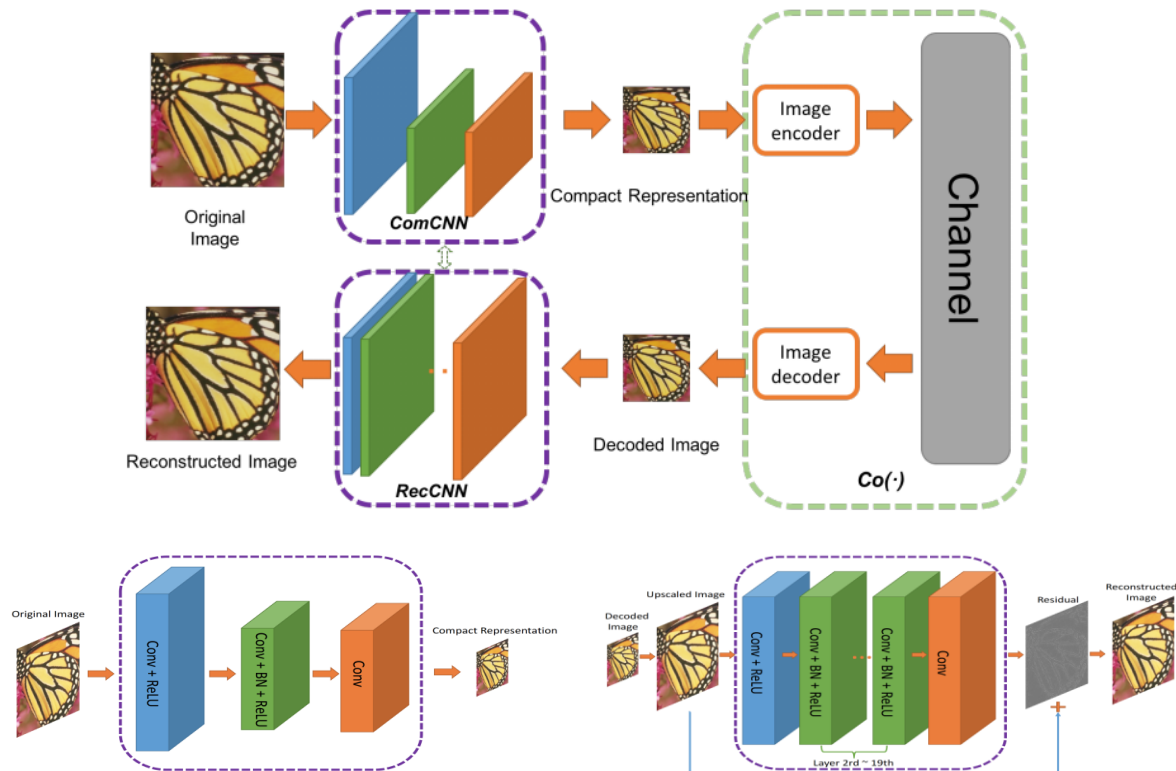
## Rate estimation by Gaussian Scale Mixtures

$$\log_2 q(\mathbf{z} + \mathbf{u}) = \sum_{i,j,k} \log_2 \sum_s \pi_{ks} \mathcal{N}(z_{kij} + u_{kij}; 0, \sigma_{ks}^2),$$





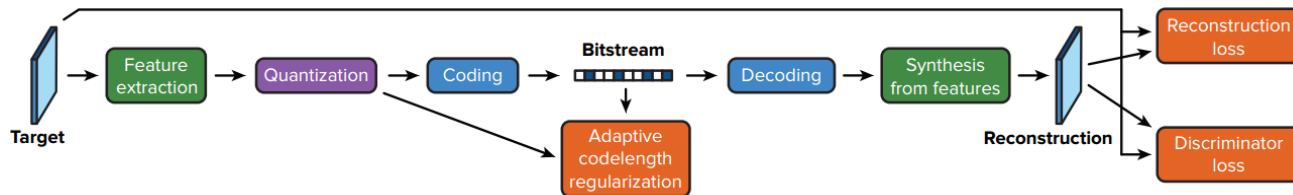
# CSV2017\_HIT



# 两个主要的发展方向

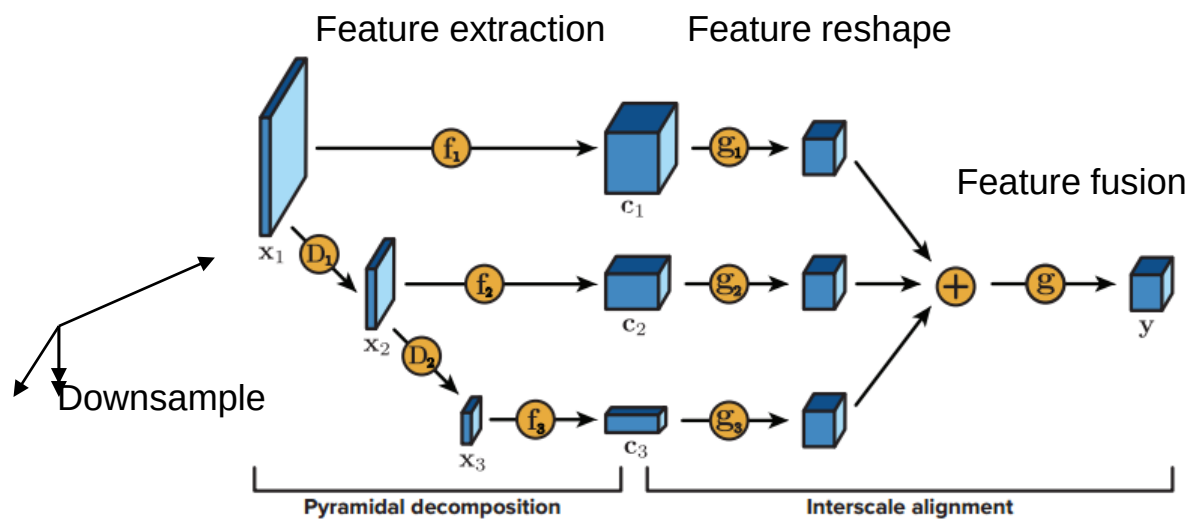
- 端到端模式 (auto-encoders)
  - RNN (ICLR2016\_Google, CVPR2017\_Google, ICIP2017\_Google)
  - CNN (ICLR2017\_NYU, ICLR2017\_Twitter, CSVT\_HIT)
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- 优化现在的视频编码器
  - Intra CU mode decision
  - Down-sampling Coding
  - in-loop filter & Post-processing

# ICML2017\_waveone



- 编码网络
  - Multiscale analysis
- 量化和熵编码
  - Adaptive arithmetic coding and adaptive codelength regularization
- 重建误差(Discriminator loss)

# 编码网络



# 量化和熵编码

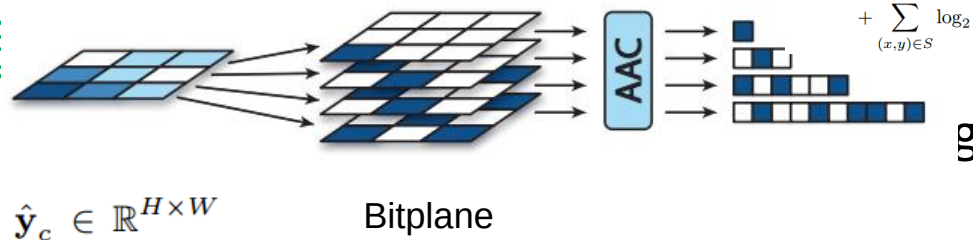
- 量化:

$$\hat{y}_{chw} := \text{QUANTIZE}_B(y_{chw}) = \frac{1}{2^{B-1}} \lceil 2^{B-1} y_{chw} \rceil$$

- 适应性算术编码 (AAC)

- Train context model to estimate probability of each bin

- 适



$$\mathcal{P}(\hat{y}) = \frac{\alpha_t}{C H W} \sum_{chw} \left\{ \log_2 |\hat{y}_{chw}| + \sum_{(x,y) \in S} \log_2 |\hat{y}_{chw} - \hat{y}_{c(h-y)(w-x)}| \right\},$$

-

# 两个主要的发展方向

- 端到端模式 (auto-encoders)
  - RNN (ICLR2016\_Google, CVPR2017\_Google, ICIP2017\_Google)
  - CNN (ICLR2017\_NYU, ICLR2017\_Twitter, CSVT\_HIT)
  - GAN (ICML2017\_waveone, MIT\_2017)
- CNN与现有的视频编码器结合
  - Intra CU mode decision
  - Down-sampling Coding
  - in-loop filter & Post-processing

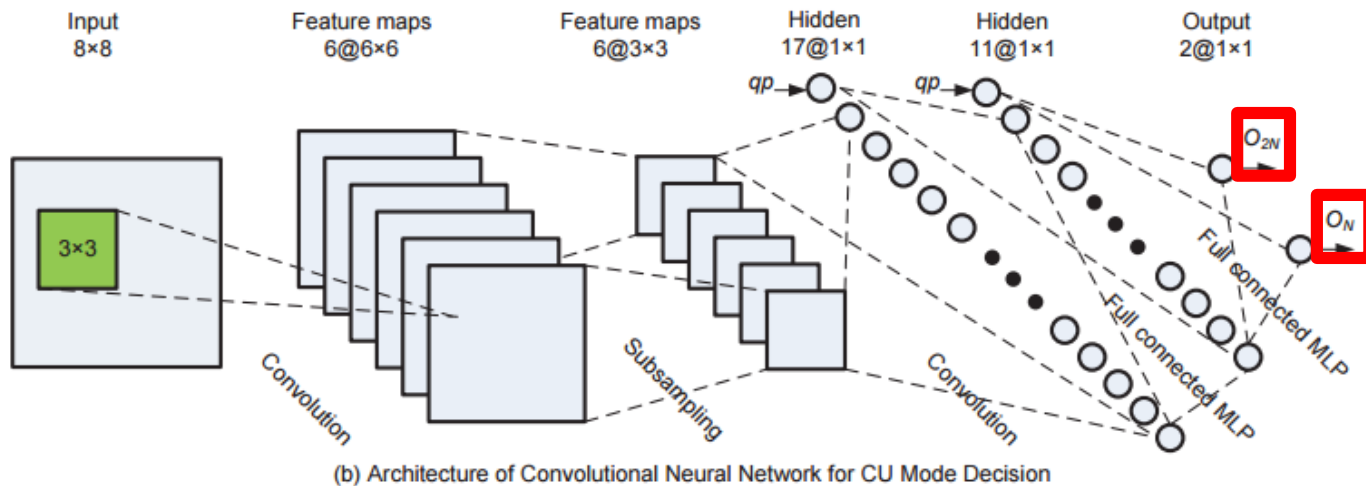
# 编码单元模式选择(Intra CU mode decision)

- CNN oriented fast HEVC intra CU mode decision
  - Contributions:
    - 1. Using CNN to analyze the textures of CU
    - 2. Reduce the maximum number of CU modes
    - 3. Introduce QP into CNN architecture design

Liu Z, Yu X, Chen S, et al. CNN oriented fast HEVC intra CU mode decision. ISCAS 2016: 2270-2273.



## 3 9 编码单元模式选择(Intra CU mode decision)



- Objective: learning to classify  $2N \times 2N$  or  $N \times N$
- The output of two nodes are RD-Cost

## <sup>4</sup><sub>0</sub> 编码单元模式选择(Intra CU mode decision)

- 时间和码字节省效果测评
  - 63% time save with 2.7% loss in BDBR

Table III: Performance Comparison between Proposed Solution and Existing Algorithms

| Algorithm        | $\Delta T_{CMD}[\%]$ | $\Delta T_{PMD}[\%]$ | BDBR[%] | $\Delta T[\%]$ | VLSI |
|------------------|----------------------|----------------------|---------|----------------|------|
| [3]              | $50-\alpha$          | $\alpha$             | 0.7     | 50             | No   |
| [5] <sup>†</sup> | 26                   | 45                   | 1.0     | 60             | No   |
| [6]              | 52                   | 0                    | 0.8     | 52             | No   |
| [7] <sup>†</sup> | 52                   | 5                    | 5.1     | 57             | Yes  |
| [8]              | 62                   | 0                    | 4.5     | 62             | Yes  |
| Proposed         | 63                   | 0                    | 2.7     | 63             | Yes  |

<sup>†</sup> indicates that class F sequences were not tested.

4  
1

## Downsampling-coding (下采样编码)

- 1. CTU (编码树单元)
- 2. Frame (视频帧)

1. Li Y, Liu D, Li H, Li L, Wu F. Convolutional Neural Network-Based Block Up-sampling for Intra Frame Coding.
2. Jia C, Zhang X, Zhang J, et al. Deep Convolutional Network based Image Quality Enhancement for Low Bit Rate Image Compression.

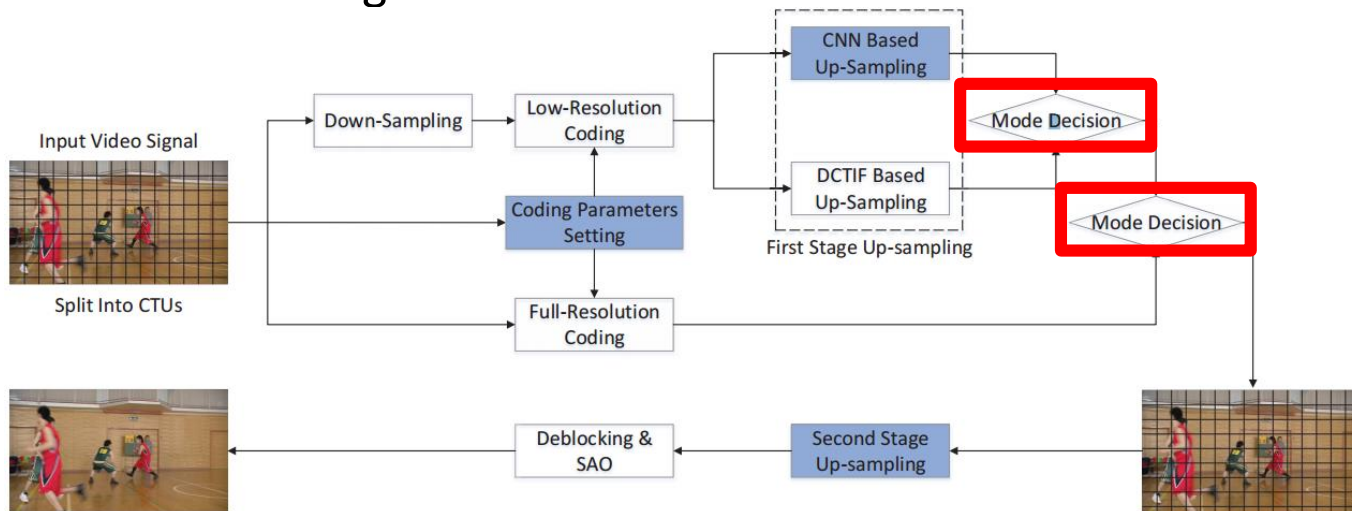
4  
2

## Downsampling-coding(下采样编码)

- CTU 层上的操作

- Two steps RDO

- 1. Down-sample coding / Full resolution coding

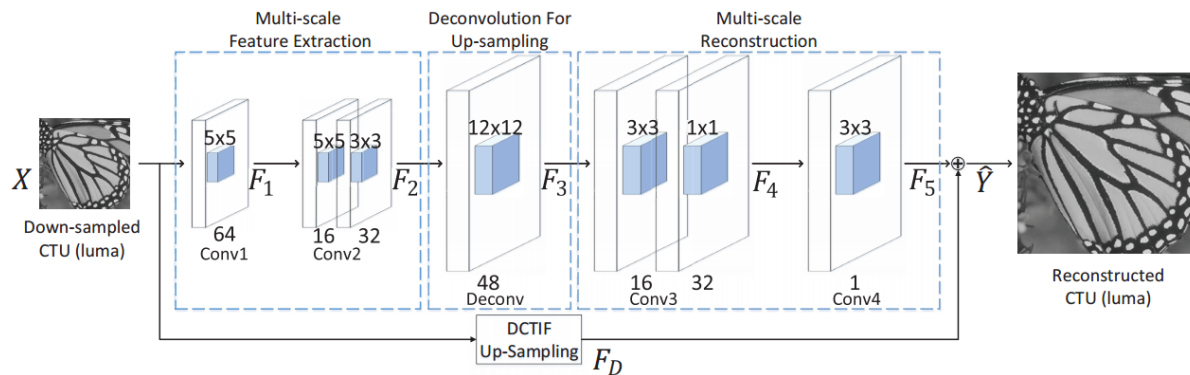


视频帧层上的操作

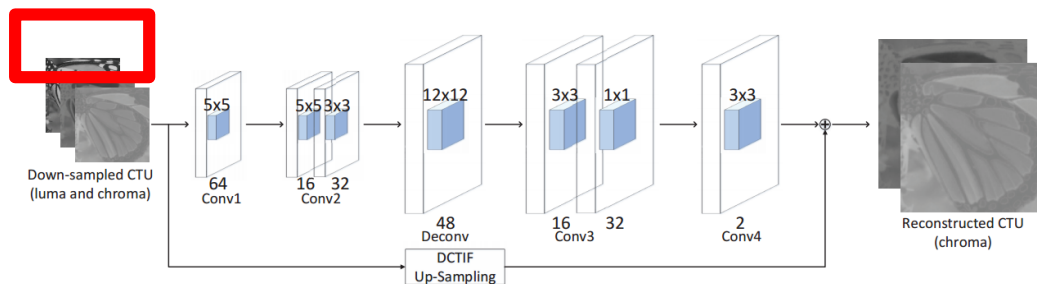
4  
3

## Downsampling-coding(下采样编码)

- 对亮度通道
  - Input: low resolution patch, output: high resolution



- 对色度通道: downsampled luma also input



## Downsampling-coding (下采样编码)

## • Test Condition

## • Qp: 32, 37, 42, 47

| Class                  | Sequence        | BD-Rate (Anchored on HEVC) |        |        |        | BD-Rate (Anchored on HEVC+DCTIF) |        |        |        |
|------------------------|-----------------|----------------------------|--------|--------|--------|----------------------------------|--------|--------|--------|
|                        |                 | Y                          | U      | V      | Y SSIM | Y                                | U      | V      | Y SSIM |
| Class A                | Traffic         | -10.1%                     | -3.5%  | 6.0%   | -12.9% | -8.0%                            | -13.2% | -2.6%  | -7.9%  |
|                        | PeopleOnStreet  | -9.7%                      | -14.8% | -14.5% | -12.9% | -8.5%                            | -20.4% | -18.5% | -9.7%  |
|                        | Nebuta          | -2.0%                      | -22.0% | 3.1%   | -4.4%  | -1.7%                            | -22.5% | 1.6%   | -3.6%  |
|                        | SteamLocomotive | -1.7%                      | -27.7% | -25.4% | -6.1%  | -1.2%                            | -34.2% | -25.6% | -2.8%  |
| Class B                | Kimono          | -7.7%                      | -5.5%  | 18.8%  | -9.6%  | -3.4%                            | -25.9% | -4.3%  | -3.4%  |
|                        | ParkScene       | -7.1%                      | -14.4% | -2.3%  | -11.3% | -5.0%                            | -25.2% | -14.6% | -6.6%  |
|                        | Cactus          | -6.6%                      | -2.5%  | 8.3%   | -10.0% | -5.0%                            | -6.5%  | 0.9%   | -6.7%  |
|                        | BQTerrace       | -3.7%                      | -7.6%  | -9.1%  | -9.6%  | -3.1%                            | -8.2%  | -7.1%  | -6.5%  |
|                        | BasketballDrive | -6.1%                      | -1.2%  | 3.2%   | -10.8% | -3.4%                            | -5.8%  | -2.5%  | -3.8%  |
| Class C                | BasketballDrill | -4.9%                      | 4.5%   | 8.1%   | -7.9%  | -4.0%                            | 4.9%   | 2.1%   | -6.6%  |
|                        | BQMall          | -2.9%                      | -7.2%  | -7.2%  | -6.2%  | -2.3%                            | -10.6% | -9.1%  | -5.3%  |
|                        | PartyScene      | -1.0%                      | -5.1%  | -1.6%  | -4.0%  | -1.0%                            | -5.5%  | -3.2%  | -3.6%  |
|                        | RaceHorsesC     | -6.7%                      | 4.6%   | 7.5%   | -10.7% | -6.0%                            | 1.9%   | 3.9%   | -8.6%  |
| Class D                | BasketballPass  | -2.0%                      | -3.7%  | 9.2%   | -4.3%  | -2.3%                            | -7.5%  | 12.3%  | -4.4%  |
|                        | BQSquare        | -0.9%                      | -0.6%  | -21.1% | -1.4%  | -0.5%                            | 1.7%   | -16.7% | -1.2%  |
|                        | BlowingBubbles  | -3.2%                      | 3.1%   | -8.0%  | -5.3%  | -1.7%                            | 0.5%   | -9.6%  | -3.8%  |
|                        | RaceHorses      | -9.9%                      | 7.5%   | 6.4%   | -12.6% | -9.6%                            | 5.0%   | 6.6%   | -11.1% |
| Class E                | FourPeople      | -7.2%                      | -10.5% | -11.0% | -11.0% | -7.2%                            | -14.7% | -14.5% | -9.5%  |
|                        | Johnny          | -9.0%                      | -3.2%  | -3.2%  | -11.1% | -7.1%                            | -6.0%  | -8.3%  | -5.6%  |
|                        | KristenAndSara  | -6.8%                      | -11.2% | -11.1% | -13.0% | -5.3%                            | -8.4%  | -10.6% | -8.2%  |
| Class UHD              | Fountains       | -4.0%                      | -12.9% | -11.2% | -7.4%  | -2.0%                            | -16.1% | -9.2%  | -2.0%  |
|                        | Runners         | -11.2%                     | 22.8%  | -0.1%  | -12.4% | -7.0%                            | 0.9%   | -13.7% | -6.0%  |
|                        | Rushhour        | -8.5%                      | 4.4%   | 1.8%   | -10.3% | -3.2%                            | -9.2%  | -9.5%  | -3.0%  |
|                        | TrafficFlow     | -12.7%                     | -11.7% | -5.8%  | -12.7% | -6.9%                            | -17.3% | -11.9% | -5.6%  |
|                        | CampfireParty   | -8.4%                      | -10.8% | -0.8%  | -9.5%  | -6.5%                            | -10.8% | -5.0%  | -6.4%  |
| Average of Classes A-E |                 | -5.5%                      | -6.0%  | -2.2%  | -8.8%  | -4.3%                            | -10.0% | -6.0%  | -5.9%  |
| Average of Class UHD   |                 | -9.0%                      | -1.6%  | -3.2%  | -10.5% | -5.1%                            | -10.5% | -9.9%  | -4.6%  |

# In-loop filter & Post Processing

- 视频帧环路滤波和后处理

## 1. In-loop

Park W S, Kim M. CNN-based in-loop filtering for coding efficiency improvement. IEEE Image, Video, and Multidimensional Signal Processing Workshop (IVMSP) 2016: 1-5.

## 2. Post Processing

Dai Y, Liu D, Wu F. A Convolutional Neural Network Approach for Post-Processing in HEVC Intra Coding. MMM 2017: 28-39.

4  
6

## In-loop filter

## • 视频帧图像滤波

- In-loop

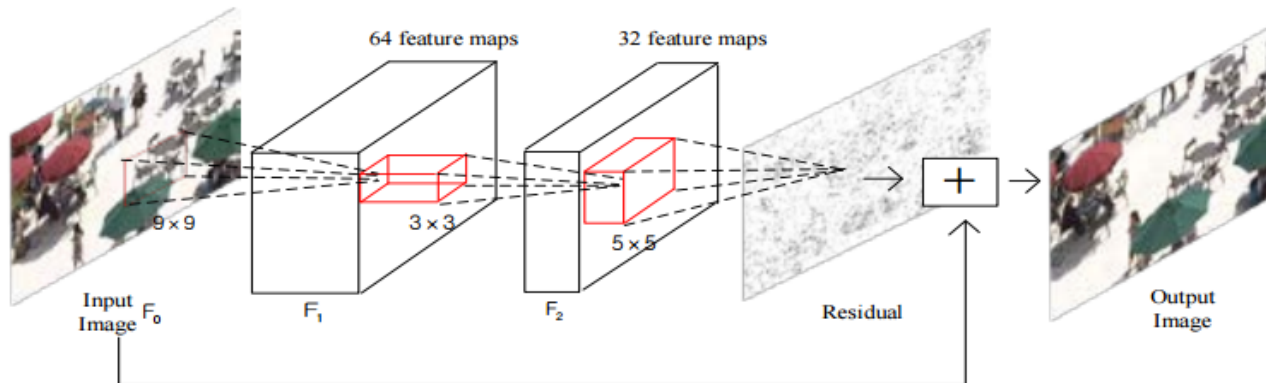


Table 1. Performance of our proposed IFCNN in comparison with SAO in terms of BD rates (BDBR).

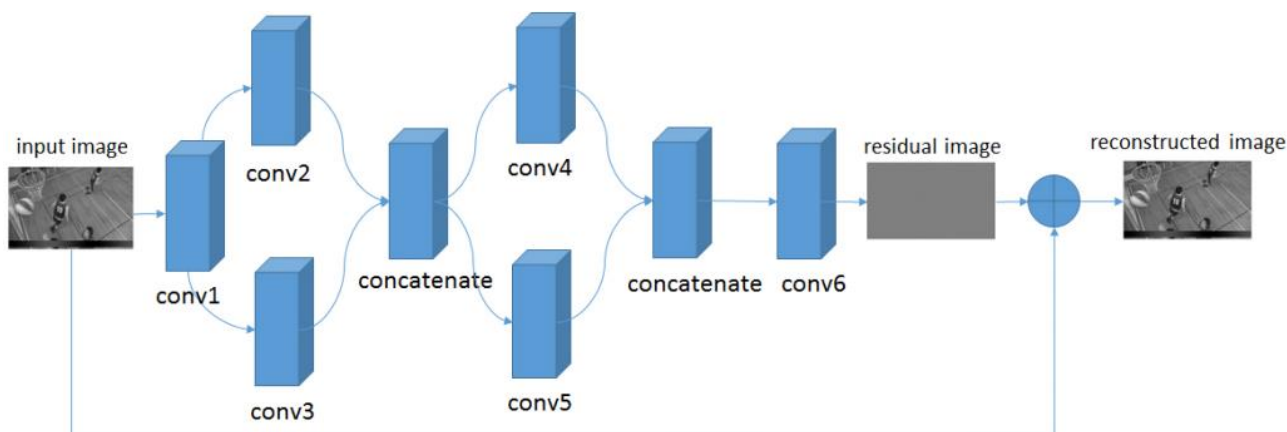
| Sizes   | Seq. | All Intra | LDP-Case I | LDP-Case II | RA-Case I | RA-Case II |
|---------|------|-----------|------------|-------------|-----------|------------|
|         |      | BDBR (%)  | BDBR (%)   | BDBR (%)    | BDBR (%)  | BDBR (%)   |
| 832x480 | BD   | -10.1     | -5.3       | -3.0        | -6.0      | -6.7       |
|         | BQM  | -3.7      | -3.0       | -2.4        | -2.4      | -2.9       |
|         | PS   | -2.7      | -2.0       | -1.2        | 0.0       | -1.1       |
|         | BDT  | -7.6      | -3.5       | -2.4        | -4.3      | -4.9       |
| 416x240 | BP   | -3.3      | -2.8       | -1.5        | -0.6      | -1.1       |
|         | BQS  | -2.4      | -3.3       | -2.9        | 1.4       | -0.8       |
|         | B    | -3.4      | -2.3       | -2.6        | 0.0       | -1.4       |
|         | RH   | -4.9      | -0.4       | 0.6         | -1.2      | -1.6       |
| Avg     |      | -4.8      | -2.8       | -1.9        | -1.6      | -2.6       |



# Post-processing

- 视频帧图像滤波

- Post processing, All Intra
- QP: 22, 27, 32, 37



|      |         |      |      |      |
|------|---------|------|------|------|
| VDSR | Class A | -2.8 | -3.2 | -3.1 |
|      | Class B | -2.7 | -2.7 | -3.3 |
|      | Class C | -4.1 | -4.8 | -5.7 |
|      | Class D | -4.4 | -5.6 | -7.3 |
|      | Class E | -5.7 | -5.7 | -6.1 |
|      | Overall | -3.8 | -4.3 | -4.9 |

- 我们的技术  
利用深度学习实现新的图片压缩方法

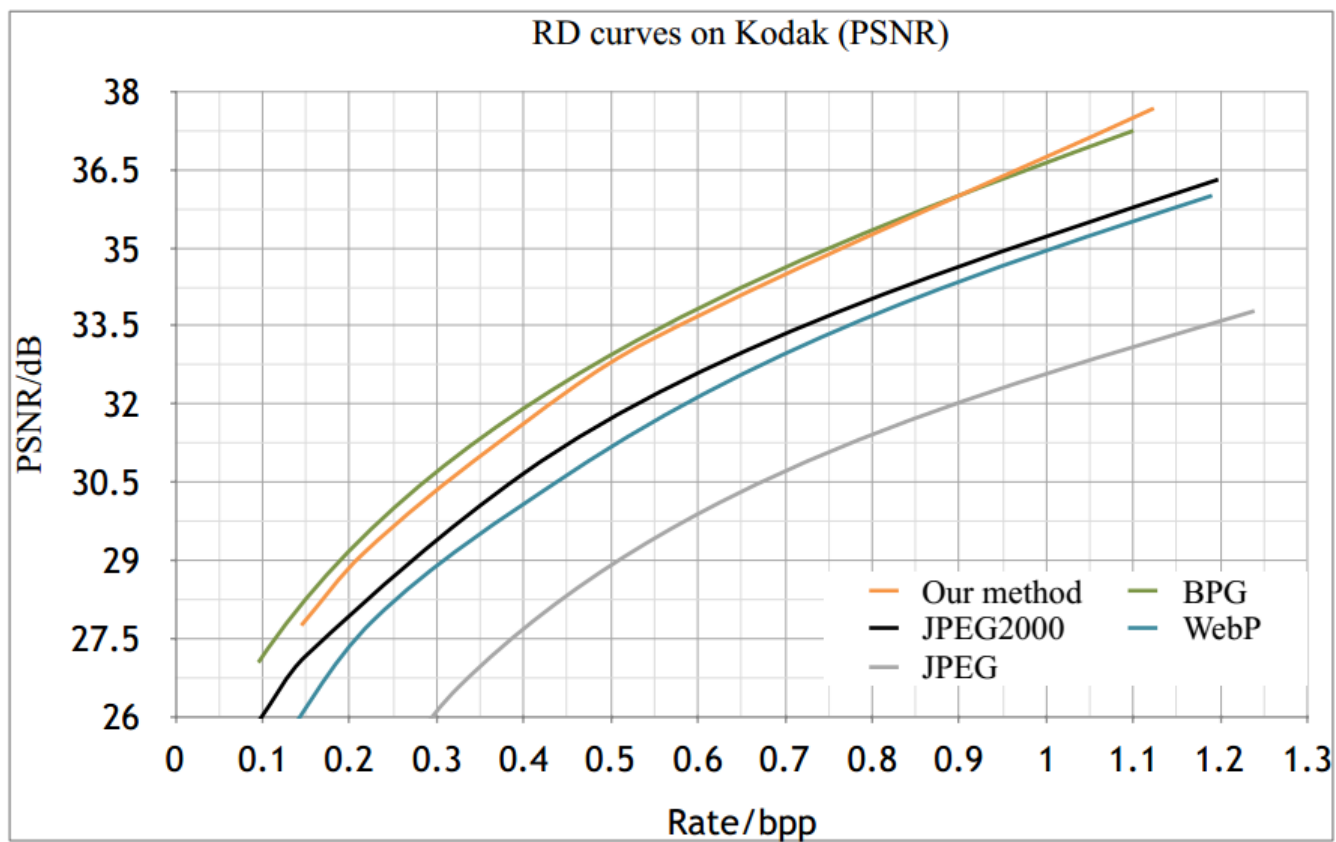
在线比较：

<http://tucodec.com/compare/index>

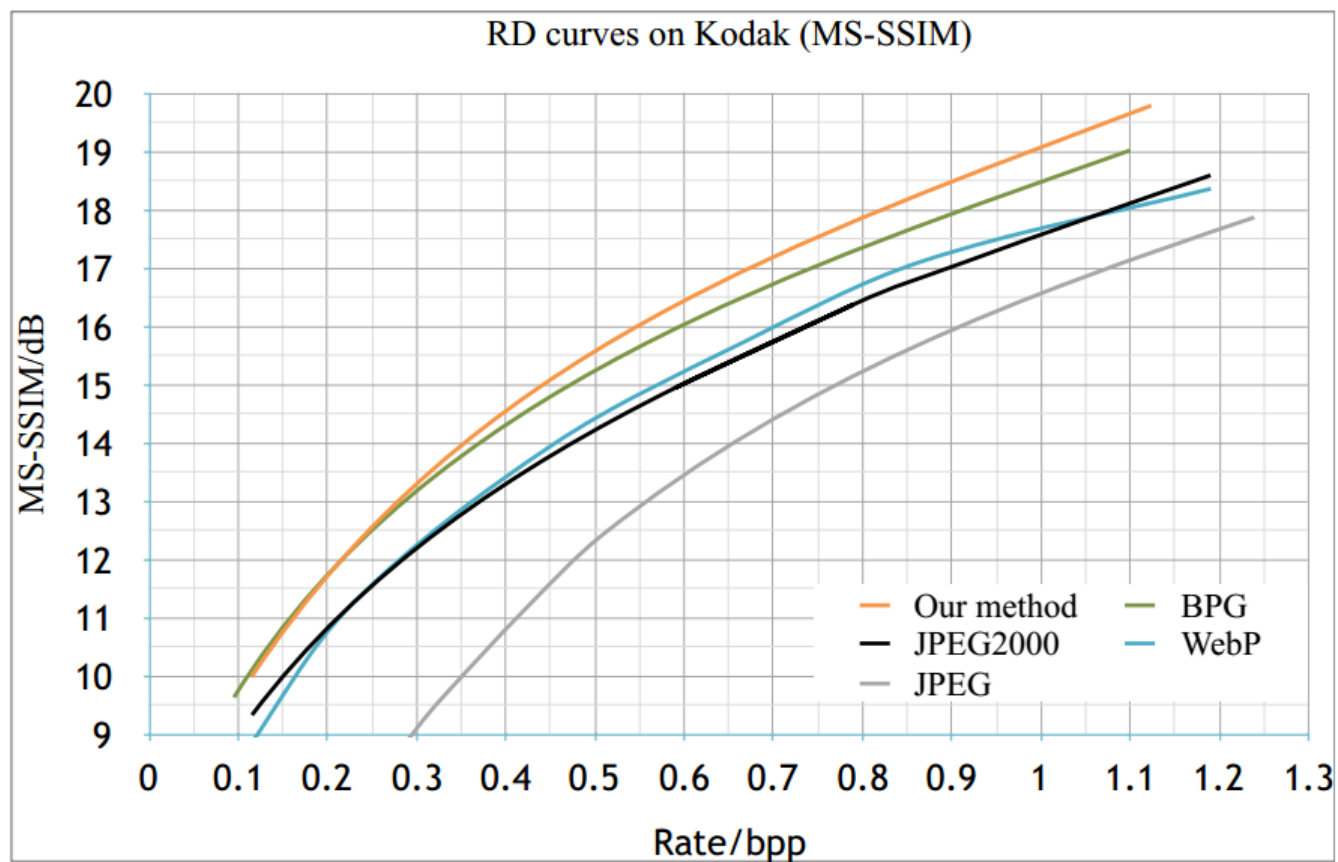
在线测试：

<http://tucodec.com/picture/index>

◆ 在KODAK数据集上的测评结果



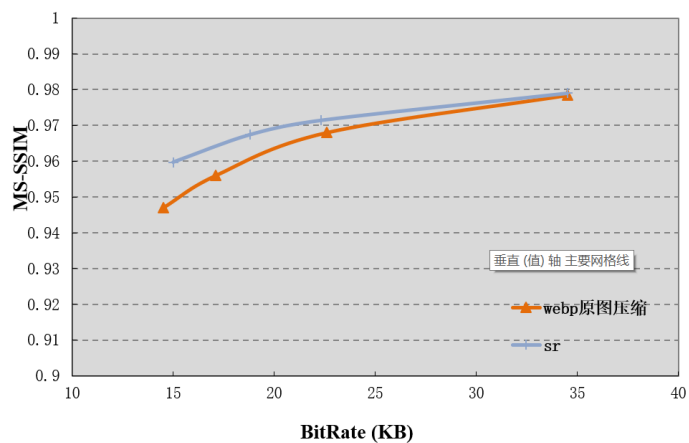
◆ 在KODAK数据集上的测评结果



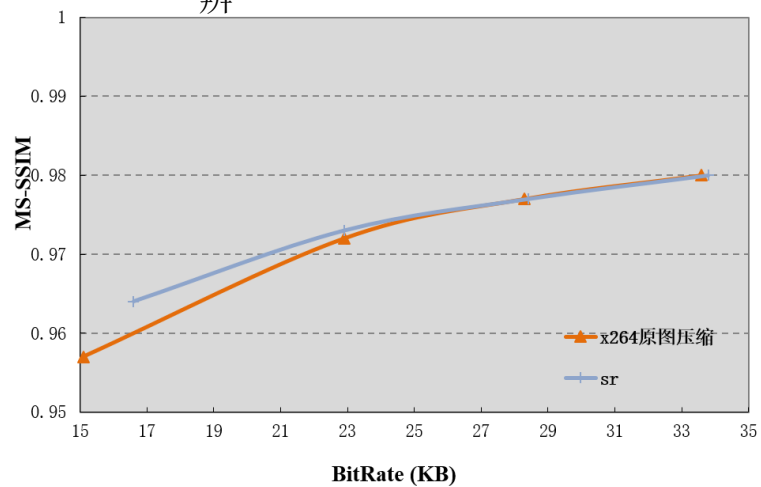
- 我们的技术  
利用深度学习超分辨降低带宽



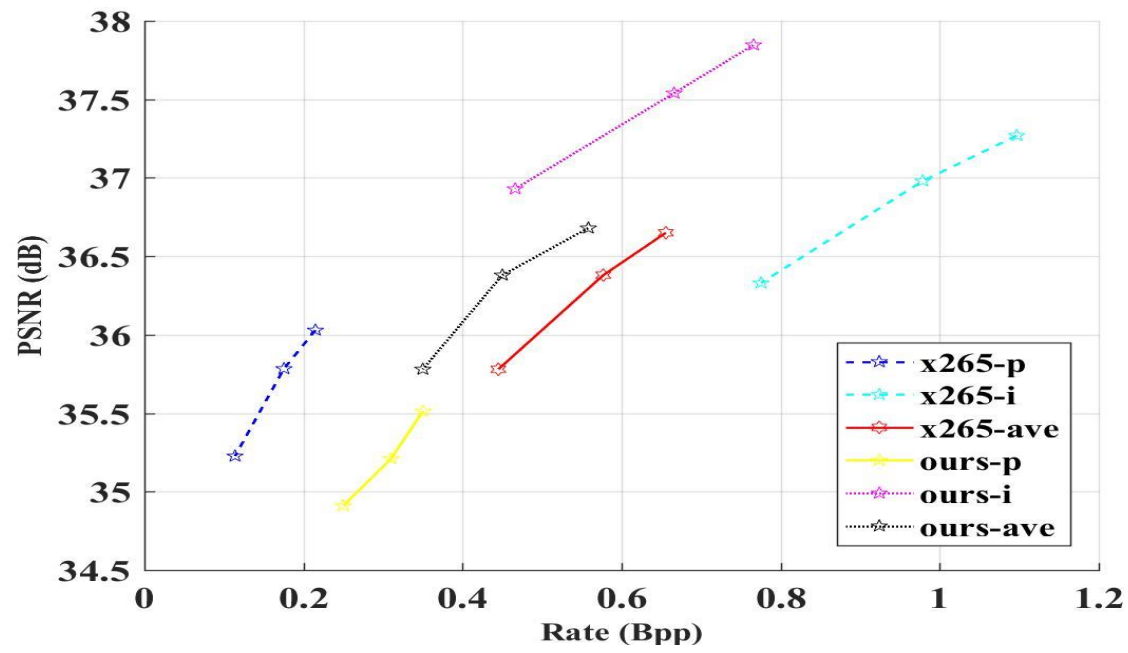
原图直接压缩



原图缩小压缩再超分  
辨



- 我们的技术  
利用深度学习设计视频多帧压缩





## ◆ 发展趋势

- 1 提升性能、降低复杂度
- 2 为特定的应用场景定制高效的算法
- 3 设计端到端的视频编码结构
- 4 结构化存储，将编码与分割、分类、检索等多任务相结合